U.S.S.N. 10/613,975 Filed: July 3, 2003 AMENDMENT AND RESPONSE TO OFFICE ACTION

## In the Claims

- (original) A vaccine composition for inducing an immune response to a pathogen comprising a nucleic acid encoding an antigen eliciting an immune response to the pathogen encapsulated in a mucoadhesive controlled release particulate formulation.
- (original) The composition of claim 1 wherein the formulation comprises a biodegradable polymer.
- 3. (original) The composition of claim 2 further comprising a mucoadhesive polymer coating.
- (original) The composition of claim 1 further comprising an enteric outer coating or capsule.
- 5. (original) The composition of claim 1 having a particulate diameter of less than five microns.
- (original) The composition of claim 2 formed by lyophilizing a solution of a biodegradable polymer to form an open-celled polymeric foam of approximately 95% void volume,

impregnating the foam with an aqueous solution of the nucleic acid, lyophilizing the foam to remove the water, and extruding the resulting matrix at ultrahigh pressures. U.S.S.N. 10/613,975 Filed: July 3, 2003 AMENDMENT AND RESPONSE TO OFFICE ACTION

(original) The composition of claim 2 wherein the method further comprises
 cryogenically grinding the matrix to an average particle size of fifteen microns in
 diameter; and

sieving to isolate particles less than five microns in diameter.

- (original) The composition of claim 1 wherein the polymer is a low molecular weight poly(D,L-lactide-co-glycolide).
- (amended) The composition of claim 1 wherein the pathogen is selected from the group consisting of malaria, tularemia, anthrax, and H. <u>Helicohacter</u> pylori.
- 10. (original) The composition of claim 1 further comprising providing an adjuvant with the antigen.
- 11. (original) The composition of claim 1 wherein the antigen is expressed or released for a period of weeks to months.
- (canceled) A porous particulate formulation comprising an antigen and having a
  mucoadhesive coating, wherein the formulation is suitable for administration orally or nasally.
- 13. (canceled) The formulation of claim 12 wherein the antigen is selected from the group consisting of a malaria antigen, a tularemia antigen, an anthrax antigen, and a H. pylori antigen.
  - 14. (canceled) The formulation of claim 12 wherein the antigen is a peptide.
- 15. (canceled) The formulation of claim 12 wherein the antigen is expressed from nucleic acid incorporated into the particulate formulation.
  - 16. (canceled) The formulation of claim 12 further comprising an adjuvant.

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- 17. (canceled) The formulation of claim 12 wherein the particulate has a mucoadhesive coating and a diameter of less than five microns.
- 18. (canceled) The formulation of claim 12 wherein the formulation is enterically coated or encapsulated within an enteric capsule.
- 19. (canceled) The formulation of claim 12 wherein the antigen is expressed or released for a period of weeks to months.
- 20. (canceled) A method of inducing an immune response to a pathogen comprising administering to a patient by an oral or nasal route a vaccine composition comprising a nucleic acid encoding an antigen eliciting an immune response to the pathogen encapsulated in a mucoadhesive controlled release particulate formulation.
- 21. (canceled) The method of claim 20 wherein a priming dose is administered before an immunizing dose is administered.